

04/27/01
10560 U.S. PTO

04-30-01

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.: PDGM-3 First Named Inventor: Evans <i>et al.</i> Title: Grating-Outcoupled Surface-Emitting Lasers Express Mail Label No. <i>EL68616808945</i>	
APPLICATION ELEMENTS <small>See MPEP Chapter 600 concerning utility patent application contents</small>		ADDRESS TO: <small>Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</small>	
1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small>		5. <input checked="" type="checkbox"/> Incorporation by Reference (useable if Box 4b is checked) <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small>	
2. <input checked="" type="checkbox"/> Specification Total pages <i>38</i> <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		6. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
3. <input checked="" type="checkbox"/> Drawing(s) (35USC d113) Total pages <i>22</i>		7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
4. Oath of Declaration <i>UnSigned</i> Total pages <i>2</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly Executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> <ul style="list-style-type: none"> i. <input type="checkbox"/> <u>Deletion of inventor(s) (Signed statement attached deleting inventor(s) named in the prior application, see 37, C.F.R 1.63(d)(2) and 1.33(b).</u> 		a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies	
		8. <input type="checkbox"/> Assignment Papers (cover sheet & Documents(s)) 9. <input type="checkbox"/> 37 CFR §3.73(b) Statement (when there is an assignee) <small>Power of Attorney</small>	
		10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <small>Copies of IDS Citations</small>	
		12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
		14. <input type="checkbox"/> *Small Entity Statement(s) (PTO/SB/09-12) <small>Statement filed in prior application -Status still proper and desired</small>	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s). 16. <input type="checkbox"/> Other:	
<small>* A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.</small>			
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment: <small>Continuation Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No: 60/200,603 filed 4/28/00; 60/200,454 filed 4/28/00; 60/209,822 filed 6/6/00; and 60/230,534 filed 9/1/00; and 60/235,090 filed 9/25/00.</small>			
Prior application information:		Examiner:	Group / Art Unit:
18. CORRESPONDENCE ADDRESS:		Name: Groover & Associates p.c. Address: 17000 Preston Road, Suite 230, Dallas, Texas 75248 (Dallas County) Phone: (972) 380-6333, fax (972) 380-4445	
Signature  Patrick Holmes, Reg. No. 46,380, Date: April 27, 2001			

04/27/01
U.S. PTO

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.: PDGM-3 First Named Inventor: Evans <i>et al.</i> Title: Grating-Outcoupled Surface-Emitting Lasers Express Mail Label No. <u>EL68611805945</u>	
APPLICATION ELEMENTS See MPEP Chapter 600 concerning utility patent application contents		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i>		5. <input checked="" type="checkbox"/> Incorporation by Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	
2. <input checked="" type="checkbox"/> Specification Total pages <u>38</u> (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		6. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
3. <input checked="" type="checkbox"/> Drawing(s) (35USC d113) Total pages <u>22</u>		7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies 	
4. Oath of Declaration <u>UnSigned</u> Total pages <u>2</u> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly Executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> <u>Deletion of inventor(s) (Signed statement attached deleting inventor(s) named in the prior application, see 37, C.F.R. 1.63(d)(2) and 1.33(b).</u> 		8. <input type="checkbox"/> Assignment Papers (cover sheet & Documents(s)) 9. <input type="checkbox"/> 37 CFR §3.73(b) Statement (when there is an assignee) 10. <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 13. <input checked="" type="checkbox"/> Copies of IDS Citations 14. <input type="checkbox"/> Preliminary Amendment 15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 16. <input type="checkbox"/> *Small Entity Statement(s) (PTO/SB/09-12) 17. <input type="checkbox"/> Statement filed in prior application -Status still proper and desired 18. <input type="checkbox"/> Certified Copy of Priority Document(s). 19. <input type="checkbox"/> Other:	
* A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.			
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:			
Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No: 60/200,603 filed 4/28/00; 60/200,454 filed 4/28/00; 60/209,822 filed 6/6/00; and 60/230,534 filed 9/1/00; and 60/235,090 filed 9/25/00.			
Prior application information:		Examiner: <u></u> Group / Art Unit: <u></u>	
18. CORRESPONDENCE ADDRESS: Name: Groover & Associates p.c. Address: 17000 Preston Road, Suite 230, Dallas, Texas 75248 (Dallas County) Phone: (972) 380-6333, fax (972) 380-4445			
Signature <u>PCW</u> Patrick Holmes, Reg.No.46,380, Date: April 27, 2001			